contents

5. 🗆



UTILITY PATENT APPLICATION TRANSMITTAL For new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.		0057-2209-
	First Named Inventor or		
	Kouji HAMANO, et al.		
	Title	LITHIUM ION SECONI	

DARY BATTERY AND METHOD OF FABRICATING THEREOF

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility application

ADDRESS TO:

Assistant Commissioner for Patents Box Patent Applications Washington, DC 20231

Application Identifier

1. Fee Transmittal Form (Submit an original, and a duplicate for fee processing)

[Total Pages 35]

3. Drawing(s) [Total Sheets 7]

4. Oath or Declaration

2. Specification

[Total Pages 5]

a. Newly executed (original)

b.□ Copy from a prior application (37 CFR 1.63(d))

> (for continuation/divisional with Box 17 completed) [Note Box 5 below]

i.□ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Incorporation By Reference (useable if Box 4b is

checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

- 6. ☐ Assignment Papers (cover sheet & document(s))
- 7. □ 37 CFR 3.73(b) Statement □ Power of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure ☐ Copies of IDS Citations Statement (IDS)/PTO-1449
- 10. Preliminary Amendment
- 11. White Advance Serial No. Postcard
- 12. 🗆 **Small Entity** ☐ Statement filed in prior app, Status still proper and desired Statement(s)
- 13. Certified Copy of Priority Document(s)
- 14. Other: Notice of Priority

15. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation

☐ Divisional

☐ Continuation-in-part (CIP)

of prior application No:

16. Amend the specification by inserting before the first line the sentence:

This application is a ☐ Continuation of application Serial No. ?, filed on ?.

☐ Division

☐ Continuation-in-part (CIP)

17. CORRESPONDENCE ADDRESS

OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. **FOURTH FLOOR**

1755 JEFFERSON DAVIS HIGHWAY ARLINGTON, VIRGINIA 22202 (703) 413-3000

FAX NO. (703) 413-2220

Docket No: 0057-2209-0

IN THE SITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Kouji HAMANO, et al.

Serial No: NEW APPLICATION

Filing Date: HEREWITH

Title: LITHIUM ION SECONDARY BATTERY AND METHOD OF FABRICATING

THEREOF

FEE TRANSMITTAL

Assistant Commissioner For Patents Washington, D.C. 20231

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	13-20=	0	X \$22=	\$0.00
	INDEPENDENT	2-3=	0	X \$82=	\$0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) + \$270= LATE FILING OF DECLARATION + \$130=				\$0.00
					\$0.00
	BASIC FEE			\$790.00	
			TOTAL OF ABO CALCULATION		\$790.00
	Reduction by 50% for filing by small entity				\$0.00
	□ FILING IN NON-ENGLISH LANGUAGE			+ \$130=	\$0.00
	□ RECORDATION OF ASSIGNMENT			+ \$40=	\$0.00
				TOTAL	\$790.00

☐ Please Charge My Deposit Account No. 15-0030 in the Amount of ?. A Duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Account No. 15-0030. A duplicate copy of this sheet is enclosed.

A check in the amount of \$790.00 to cover the filing fee is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon Attorney of Record Registration No. 24,618

MARVIN J. SPIVAK REGISTRATION NUMBER 24,913

Porth Floor
1755 Jefferson Davis Highway

Arlington, Virginia 22202 (703) 413-3000

Fax No. (703) 413-2220

Docket No. 0057-2209-0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kouji HAMANO, et al.

GROUP ART UNIT:

SERIAL NUMBER: NEW APPLICATION

EXAMINER:

FILED: HEREWITH

FOR: LITHIUM ION SECONDARY BATTERY AND METHOD OF FABRICATING THEREOF

REQUEST FOR PRIORITY UNDER 35 U.S.C. 119 AND THE INTERNATIONAL CONVENTION

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

COUNTRY

APPLICATION NO:

MONTH/DAY/YEAR

Japan

8-324032

December 4, 1996

A Certified copy of the corresponding Convention Application will be submitted prior to payment of the Final Fee.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

MARVIN J. SPIVAK REGISTRATION NUMBER 24,913

> Norman F. Oblon Attorney of Record Registration No. 24,618

Fourth Floor 1755 Jefferson Davis Highway Arlington, Virginia 22202 (703) 413-3000 Fax No. (703) 413-2220 (OSMMN 1/97)